



Working Arrangement

between

The Civil Aviation Administration of China (CAAC)

and

**The Civil Aviation Authority of the United Kingdom of Great Britain
and Northern Ireland
(UK CAA)**

On Reciprocal Acceptance of Production and Airworthiness Approval

The Civil Aviation Administration of China (CAAC) and the Civil Aviation Authority of the United Kingdom of Great Britain and Northern Ireland (UK CAA), are the competent authorities of the respective governments of the People's Republic of China and the United Kingdom of Great Britain and Northern Ireland with regard to civil aviation matters.

The CAAC and the UK CAA, hereinafter referred to individually as the "Participant" or collectively as the "Participants",

Recognising the continuous trend toward multinational design, production and circulation of Civil Aeronautical Products,

Note: Civil Aeronautical Product means any civil aircraft, aircraft engine, or aircraft propeller or sub-assembly, appliance or part, installed or to be installed thereon.

Desiring to promote civil aviation safety and environmental quality and compatibility and to facilitate the free circulation of Civil Aeronautical Products,

Desiring to enhance cooperation and increase efficiency in matters relating to civil aviation safety,

Considering the UK having adopted the EU legal basis into its domestic law, the regulatory system for UK CAA production certification approval and oversight since the UK's exit from the EU being unchanged, for which confidence had been built during the EU China bilateral agreement discussion,

Considering the confidence built on each other's production oversight system by direct discussions following the exit of the UK from the EU and through the brief familiarization of the bilateral relationship with other regulatory partners (EU and US) with whom both Participants have agreements,

have decided upon the following:

1. PURPOSE

The purpose of this Working Arrangement is to enable the reciprocal acceptance of the other Participant's production certification and oversight system and the airworthiness approvals between the CAAC and the UK CAA, to allow the continuity of cooperation following the exit of the United Kingdom (UK) from the European Union (EU) after 31 December 2020.

2. SCOPE

The Working Arrangement covers:

- The reciprocal acceptance of each other's production certification and oversight system for Civil Aeronautical Products where the corresponding design approvals have been validated or accepted by the importing Participant;
- the reciprocal acceptance of Export Certificate of Airworthiness issued by the exporting Participant to new or used aircraft whose type design has been approved or validated by the importing Participant; and

- the reciprocal acceptance of an Airworthiness Approval Tag/Authorised Release Certificate issued by the exporting Participant to other new Civil Aeronautical Products whose type design has been approved or validated by the importing Participant.

Note: Acceptance or validation in this Working Arrangement includes the acceptance or validation activities under the EU-China bilateral framework that were completed before 31 December 2020.

Note: This Working Arrangement does not cover the acceptance or validation of design approvals between the CAAC and UK CAA after 31 December 2020. This will be dealt in the future, including the production arrangements associated with such designs.

3. IMPLEMENTATION

3.1 Production Oversight Recognition

Based on the confidence built on each other's production oversight system, the CAAC and the UK CAA accept each other's production certification and oversight system within the scope of this Working Arrangement for those Civil Aeronautical Products validated or certified in each Participant's system.

3.2 Acceptance of Export Certificates and Forms

3.2.1 Certificates and Forms

The exporting Participant's forms are:

- (a) when the exporting Participant is the CAAC: Export C of A (CAAC Form AAC-157) for new or used aircraft, and Form AAC-038 for other new Civil Aeronautical Products;
- (b) when the exporting Participant is the UK CAA: Export C of A (UK CAA Form 27) for new or used aircraft, and UK CAA Form 1 for other new Civil Aeronautical Products.

3.2.2 Export of New or Used Aircraft

The exporting Participant, or the approved production organisation, as applicable, will issue an Export Certificate of Airworthiness (CAAC Form AAC-157 or UK CAA Form 27), certifying that such aircraft:

- (a) conforms to a type design approved or validated by the Importing Participant;
- (b) is in a condition for safe operation, including compliance with the applicable airworthiness directives of the Importing Participant, as notified by that Participant; and
- (c) meets all additional requirements prescribed by the Importing Participant, as notified by that Participant.

The importing Participant will accept the exporting Participant's Export Certificates of Airworthiness for the new or used aircraft.

3.2.3 Export of New Civil Aeronautical Products other than aircraft

The exporting Participant, or the approved production organisation, as applicable, will issue an Airworthiness Approval Tag/Authorised Release Certificate (CAAC Form AAC-038 or UK CAA Form 1), certifying that a new Civil Aeronautical Product other than aircraft:

- (a) conforms to a design approved or validated by the Importing Participant;
- (b) is in a condition for safe operation; and
- (c) meets all additional requirements prescribed by the Importing Participant, as notified by that Participant.

The importing Participant will accept the exporting Participant's Airworthiness Approval Tag/Authorised Release Certificate for a new Civil Aeronautical Product other than an aircraft.

4. COMMUNICATION

4.1 The Participants will consult regularly about the implementation of this Working Arrangement.

4.2 A focal point will be assigned by each Participant to facilitate the implementation of this Working Arrangement. All routine communication related to the activities of this Working Arrangement will formally take place between these two focal points (see Appendix).

4.3 All communications between the CAAC and the UK CAA related to the activities of this Working Arrangement will be in the English language.

4.4 The Participants will transmit mandatory continuing airworthiness information (e.g. airworthiness directives) to the other Participant in accordance with the requirements of ICAO Annex 8. The CAAC publishes its mandatory continuing airworthiness information at www.caacaa.org.cn and uses mailbox address shs_ad@caac.gov.cn for the receiving of mandatory continuing airworthiness information. The UK CAA publishes its mandatory continuing airworthiness information at: <https://www.caa.co.uk/Commercial-industry/Aircraft/Airworthiness/Continuing-airworthiness/Airworthiness-Directives/> and uses mailbox adunit@caa.co.uk for the receiving of mandatory continuing airworthiness information.

5. EXECUTIVE MANAGEMENT

5.1 Representatives of the UK CAA and the CAAC will meet at least once a year for ensuring the effective functioning of this Working Arrangement and to evaluate the effectiveness of its implementation. In case of disagreement the Participants will take the opportunity of this meeting to decide the conditions under which this Working Arrangement and its applicable procedures may be continued and amend it as appropriate.

5.2 Both the UK CAA and the CAAC will inform each other of any major change in regulations, standards or policies. Both Participants will evaluate together of the impact on the implementation of the Working Arrangement. Both Participants are encouraged to seek comment from each other on the rulemaking or policy making process.

5.3 Both UK CAA and the CAAC will cooperate in sharing information needed for any investigation or enforcement action related to the imported Civil Aeronautical Product or article, and provide technical support as needed.

6. DATE OF OPERATION, INTERPRETATION, AMENDMENT, DURATION AND TERMINATION

6.1 Date of operation

This Working Arrangement will come into operation at the date of signature by the Participants duly authorised representatives. When the signature process is performed by exchange of letters the Working Arrangement will come into operation at the date of the last signature of the Participants duly authorised representatives.

6.2 Interpretation and amendments

Any disagreement regarding the interpretation or application of this Working Arrangement will be resolved by consultation between the Participants.

This Working Arrangement may be amended by mutual decision. Such amendments will be written and made effective by the signatures of the duly authorised representatives or their designees.

6.3 Duration and termination

This Working Arrangement will remain in operation until terminated by either Participant.

Either Participant may at any time give written notice to the other Participant of its decision to terminate this Working Arrangement. This Working Arrangement will terminate three months following the date of receipt of the notice by the other Participant, unless the said notice of termination has been withdrawn by mutual decision before the expiry of this period. In the absence of acknowledgement of receipt of a notification of termination, the notification of termination is considered as received ten (10) working days after the notification of termination was sent.

The Participants commit to the provisions of this Working Arrangement as indicated by the signature of their duly authorised representatives.

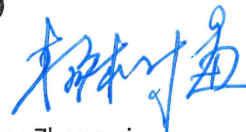
Signed in duplicate in the English language in Beijing on 18 August 2021 by the CAAC and in London on 25 August 2021 by the UK CAA.

For the Civil Aviation Authority of the United Kingdom (UK CAA)



Mr. David Malins
Head of Airworthiness
CAA Airworthiness Department

For the Civil Aviation Administration of China (CAAC)



Ms. Yang Zhenmei
Deputy Director General
Aircraft Airworthiness Certification Department

APPENDIX

Focal Point

FOR UK CAA	FOR CAAC
Civil Aviation Authority	Aircraft Airworthiness Certification Department
Aviation House,	155 Dongsu Street West
Crawley, West Sussex, RH60YR	Beijing 100710
United Kingdom	People's Republic of China
Mr Michael Greer Production Coordinator CAA Airworthiness Department	Mr. Zhu Xuefeng Director Assistant Airworthiness Regulation and Standards Division
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