## Official Record Series 5

# United Kingdom Civil Aviation Authority



CAA Scheme of Charges No: 291

(General Aviation) Publication Date: 14 March 2014

Commencement Date: 01 April 2014

The Civil Aviation Authority, pursuant to Section 11 of the Civil Aviation Act 1982 and after consulting with persons likely, in its opinion, to be affected by the charges and with the Secretary of State, hereby makes a Scheme for determining the charges to be paid to the CAA in connection with the performance by the CAA of the following functions conferred on it by or under the Order and under the EASA Air Operations Regulation, with respect to declarations for non-commercial operations with complex motor-powered aircraft and for specialised operations:

- i) the granting of permissions and exemptions for the organisation of flying displays;
- ii) the granting of display authorisations;
- iii) the granting of exemptions relating to the dropping of articles;
- iv) the granting of exemptions and permissions in specified circumstances in relation to low flying;
- v) the granting of exemptions in relation to overtaking and landing whilst the runway is occupied;
- vi) the granting of permissions in relation to speed limitation;
- vii) the granting of permissions and exemptions for aerial work by unmanned aircraft and rockets;
- viii) the granting of parachuting permissions;
- ix) the granting of exemptions relating to the conduct of parachuting operations;
- x) the approval of persons for the purpose of submitting reports in respect of the granting of parachuting permissions;
- xi) the submission of a declaration for non-commercial operations with complex motor-powered aircraft (Part-NCC) or specialised operations (Part-SPO);
- xii) the granting of approvals for flight in specified weather conditions and in airspace in which specified navigation or height keeping performance is required;
- xiii) the granting of approvals for flights in poor visibility and in specified airspace;
- xiv) the approval of operational procedures to conduct steep approaches;
- xv) the granting or permissions in relation to Minimum Equipment Requirements;
- xvi) the submission and review of an Alternative Means of Compliance (AltMOC).

14 March 2014 Page 1 of 11

#### 1 REVOCATION

- 1.1 The Scheme of Charges published by the CAA on 30 January 2013 determining the charges to be paid to the CAA in connection with the performance by the CAA of the aforesaid functions is hereby revoked.
- 1.2 Section 16(1) of the Interpretation Act 1978 (which relates to the effect of repeals) shall apply to this Scheme as if this Scheme were an enactment and as if the Scheme of 30 January 2013 revoked by paragraph 1.1 above was an enactment thereby repealed.

## 2 CHARGES INDEX

The following Charges Index sets out the charge headings under this Scheme and refers to the page where each section commences:

Section	Title	Page No.
3	Charges	3
3.1	Flying displays	3
3.2	Display authorisations	4
3.3	Exemptions in relation to dropping of articles	4
3.4	Exemptions and permissions in relation to low flying	4
3.5	Exemptions in relation to overtaking	5
3.6	Exemptions in relation to landing whilst the runway is occupied	5
3.7	Permissions in relation to speed limitation	6
3.8	Permissions and exemptions in relation to aerial work	6
3.9	Parachuting – grant, renewal and variation charges (for parachute activities which are not unusual)	6
3.10	Parachuting – grant, renewal and variation charges for a special permission or exemption	7
3.11	Parachuting – approval of persons	8
3.12	Declaration – non-commercial operations with complex motor-powered aircraft (Part-NCC) or specialised operations (Part-SPO)	8
3.13	Approval for flights in poor visibility and in specified airspace	8
3.14	Approval of steep approach procedures	9
3.15	Permissions in relation to Minimum Equipment Requirements	10
3.16	Alternative Means of Compliance	10
3.17	Copies of documents	10
3.18	Additional charge where functions are performed abroad	10
4	Definitions	10
5	Commencement	11

14 March 2014 Page 2 of 11

#### 3 CHARGES

## 3.1 Flying displays

On making an application for the grant of a Permission to organise a flying display as required under Article 162 of the Order, the applicant shall pay to the CAA a charge of the amount specified in Column 2 of Table 1 according to the number of display items applied for as set out in Column 1 of Table 1.

Table 1

Column 1	Column 2	Column 3	Column 4	Column 5
Number of Display Items				
1-3	£189	£94	£124	£151
4 – 6	£455	£228	£303	£363
7 – 12	£684	£343	£455	£549
13 – 18	£1,025	£512	£684	£819
19 – 24	£1,517	£759	£1,011	£1,214
25 – 30	£2,047	£1,025	£1,365	£1,638
31 or more	£2,731	£1,365	£1,823	£2,187

#### Provided that:

- a) Where more than one application is received in respect of a single one to three display item event:
  - i) in the case of two applications, the applicants shall between them pay to the CAA the charge specified in Column 2 of Table 1 on a pro rata basis according to the number of display items contained in each application;
  - ii) in the case of three applications each for a single item, each applicant shall pay to the CAA half the charge specified in Column 2 of Table 1.
- b) Where a single application is made for more than one flying display at the same location where each display included in the application is to take place within 12 months of the date of the application, the total charge to be paid by the applicant to the CAA shall be calculated in respect of each display in accordance with Column 2 of Table 1 but reduced by 10%.
- c) When a Permission has been granted to organise an event and application is made for the grant of a subsequent Permission to organise an event which is to take place at the same location, the applicant shall pay to the CAA:
  - i) the charge specified in Column 3 of Table 1 if the event is to take place within fifteen days of the previous event;
  - ii) the charge specified in Column 4 of Table 1 if the event is to take place within thirty days of the previous event; or
  - iii) the charge specified in Column 5 of Table 1 if the event is to take place within ninety days of the previous event.

14 March 2014 Page 3 of 11

## 3.2 **Display authorisations**

On making an application for the grant of:

- a) a Display Authorisation issued under Article 162 of the Order, the applicant shall pay to the CAA a charge of £151;
- b) a variation to an existing Display Authorisation, the applicant shall pay to the CAA a charge of £66; and
- c) an exemption from the need to hold a Display Authorisation, the applicant shall pay to the CAA a charge of £113.

**NOTE 1:** Sub-paragraphs 3.2 a), b) and c) above are applicable to an individual pilot.

## 3.3 Exemptions in relation to dropping of articles

a) Subject to sub-paragraph b), on making an application for an exemption from Article 129 of the Order, the charges referred to in Table 2 shall be payable to the CAA:

Table 2:

Ref		Type of Exemption	Charge
1	Dropping of articles as part of an aircraft	In respect of each application for a single event (regardless of the number of aircraft involved)	£113
race or contest		In respect of each application for a single flight attending more than one event	£226
2	Dropping of balloon competition markers		£113
3		ising and lowering or dropping of articles by helicopters in test, a flying display or other special event.	£113

b) Where application is made for an exemption for the purpose of dropping flower petals at a remembrance ceremony only, or for the scattering of ashes, no charge shall be payable to the CAA by virtue of this sub-paragraph.

#### 3.4 Exemptions and permissions in relation to low flying

a) On making an application for a permission in respect of any flight referred to in Column 2 of Table 3, the applicant shall pay to the CAA the charge referred to in Column 5 (according to the number of required locations in Column 4, where relevant).

14 March 2014 Page 4 of 11

Table 3:

Ref	Type of Exemption	Circumstances of Flight	Number of required locations	Charge
Col. 1	Column 2	Column 3	Column 4	Col. 5
1	For a permission under Rule 5(3)(c) of the Rules of the Air (flight below 1,000 feet above the highest fixed	part of or connected with an aircraft race or contest, a flying display or other	In respect of applications where the number of locations is three or less	£113
	object within 600 metres of the aircraft) in respect of any flight which is: [see Column 3]	special event; or a take off by a manned balloon in a congested area.	In respect of applications where the number of locations is four or more	£226
2	For a permission under Rule 5(3)(b) of the Rules of the Air (flight closer than 500 feet to any person, vessel, vehicle or structure) in	where three or less aircraft or Display Items are participating;	N/A	£113
	respect of an aircraft race or contest, a flying display, filming of an aircraft, air to ground photography or other special event or a long term exemption for the purposes of display practice	where four or more aircraft or Display Items are participating	N/A	£226
3	For a permission under Rule 5 of the Rules of the Air in respect of an aerial work flight where the aircraft is operated other than by the holder of an air operator certificate or aerial application certificate	N/A	N/A	£113

## 3.5 **Exemptions in relation to overtaking**

On making an application for an exemption (the overtaking rule) in respect of an aircraft race or contest, the applicant shall pay to the CAA a charge of £113.

## 3.6 Exemptions in relation to landing whilst the runway is occupied

On making an application for an exemption from Rule 14(2) of the Rules of the Air Regulations 2007 (landing and take-off) to allow landings when the runway is not clear of aircraft, the applicant shall pay to the CAA a charge of £113.

**NOTE 2:** Table 2 Refs. 2 and 3 and paragraphs 3.4, 3.5 and 3.6 are applicable to individual aircraft or to a number of aircraft at a specific event.

14 March 2014 Page 5 of 11

## 3.7 **Permissions in relation to speed limitation**

On making an application for a permission under Rule 21(3) of the Rules of the Air Regulations 2007 (flight in excess of 250 knots below 10,000 feet), the applicant shall pay to the CAA:

- a) in the case of an application in respect of a single aircraft, a charge of £113; or
- b) in the case of an application in respect of two or more aircraft, a charge of £226.

## 3.8 Permissions and Exemptions in relation to aerial work

- 3.8.1 On making an application for a permission under Article 168(7) of the Order for aerial work involving small rockets, the applicant shall pay to the CAA a charge of £113.
- 3.8.2 On making an application for a permission under Article 166(5) of the Order to conduct aerial work involving a small unmanned aircraft, the applicant shall pay to the CAA the charge appropriate to the aircraft weight as shown in Table 4:

Table 4:

Aircraft Weight Category	Initial Application Charge	Renewal Application Charge
7 kg or less	£113	£57
greater than 7 kg – 20 kg	£226	£113

3.8.3 On making an application for an exemption under Article 242 of the Order to conduct aerial work involving an unmanned aircraft greater than 20 kg, the applicant shall pay to the CAA the charge appropriate to the aircraft weight as shown in Table 5:

Table 5:

Aircraft Weight Category	Initial Application Charge	Renewal Application Charge
greater than 20 kg – 150 kg	£422	£211
greater than 150 kg*	£422*	£211*

<sup>\*</sup> With regard to those aircraft of weight in excess of 150 kg, where the work involved for either the initial or the renewal applications exceeds 4 hours then the time taken in excess of 4 hours shall be charged at £168 per hour to the applicant.

### 3.9 Parachuting – grant, renewal and variation charges

3.9.1 Subject to Notes 3, 4 and 5, on making an application for the grant, renewal or variation of a parachuting permission and any related exemption being an application which does not relate to unusual parachuting activity, the applicant shall pay to the CAA the charge referred to in Column 3 of Table 6 depending on the nature of the applicant referred to in Column 1:

14 March 2014 Page 6 of 11

#### Table 6:

Approval to be granted	Application Type	Charge
Column 1	Column 2	Column 3
	Grant	£8,652
For a club, centre, school or	Renewal	£8,652
other organisation permission, not related to unusual parachuting activity	Where the CAA determines that a full investigation is not necessary for the purpose of satisfying the CAA of the applicant's competency	£2,884
For a display team or a trials	Grant	£494
team permission, not related to unusual parachuting activity	Renewal	£494
For any applicant	Variation of a Permission	£48
	Variation of an Exemption	£48

- **NOTE 3:** Where the CAA determines that a full investigation is not necessary for the purpose of satisfying itself of the applicant's competency, a charge of £2,827.
- NOTE 4: Permissions and/or exemptions will normally be valid for a period not exceeding 12 months, until and including 31 March in any year, with the exception that special parachuting permissions and/or exemptions will normally be valid for a period not exceeding 28 days.
- **NOTE 5:** No charge is payable to the CAA in respect of an application described in paragraphs 3.8.1 or 3.8.2 above which is supported by a recommendation by an approved person.

## 3.10 Parachuting – grant, renewal and variation charges for a special permission or exemption

- 3.10.1 Subject to paragraphs 3.10.2 and 3.10.3 on making an application for the grant or renewal of a special parachuting permission and/ or related exemption, the applicant shall pay to the CAA an initial charge of £494.
- 3.10.2 Subject to paragraph 3.10.4, in addition to the charge payable under paragraph 3.10.1, the applicant shall pay to the CAA (for the investigations required by the CAA in connection with an application for a special parachuting permission) a charge of such amount as may be decided and invoiced by the CAA having regard, in accordance with paragraph 3.10.3, to the expense incurred by it in making the investigations but not exceeding£18,900 for any year, or part of the year, during which the investigations are carried out.
- 3.10.3 For the purpose of paragraph 3.10.2, the CAA shall determine the expense incurred by it in making the investigations therein referred to by taking the number of man hours required to complete the investigation and multiplying that number by £95.
- 3.10.4 On making an application for the variation of a parachuting permission and/or exemption, being an application which relates to unusual parachuting activity, the applicant shall pay to the CAA the charge specified in paragraph 3.10.1 in respect of an application for a special parachuting permission.

14 March 2014 Page 7 of 11

## 3.11 **Parachuting – approval of persons**

- 3.11.1 On making an application for the grant of an approval of a person authorised to make recommendations to the CAA in respect of applications for the grant or renewal of parachuting permissions, the applicant shall pay to the CAA a charge of £28,100.
- 3.11.2 In respect of the investigations required by the CAA for the purposes of satisfying itself that such an approval should remain in force for a period of 12 months commencing 1April in any year, the holder of the approval shall pay to the CAA on 1 April in that year, a charge of £28,100.

## 3.12 Declaration – non-commercial operations with complex motor-powered aircraft (Part-NCC) or specialised operations (Part-SPO)

Operators of complex motor-powered aircraft, involved in non-commercial operations, submitting an initial declaration of their capability and means to discharge their responsibilities for the operation of such aircraft in accordance with Part-NCC, or where specialised operations, in accordance with Part-SPO, are being conducted pursuant to the EASA Air Operations Regulation, or a change to the initial or to a subsequent declaration, shall pay to the CAA upon submission to the CAA a charge as specified in Table 7:

Table 7:

SubmissionType	Charge
Initial declaration	£113
Change to declaration	£82

## 3.13 Approval for flights in poor visibility and in specified airspace

## 3.13.1 All Weather Operations (AWOPS) or Enhanced Visual Systems (EVS) Operations

On making an application for the grant or renewal of a three-year approval under Article 109(2) of the Order for non-public transport operators to undertake operations to Category II and III minima, the applicant shall pay to the CAA a charge as specified in Table 8:

Table 8:

Application Type	Charge
Initial grant	£6,360
Renewal	£708

#### 3.13.2 Minimum Navigation Performance Specification (MNPS)

On making an application for the grant or renewal of a three-year approval under Article 121(2) of the Order of the equipment required by UK-registered aircraft flying otherwise than under and in accordance with an air operator's certificate granted by the CAA to the operator, to fly in North Atlantic Minimum Navigation Performance Specification airspace, its installation, maintenance and related operating procedures, the applicant shall pay to the CAA a charge of £708.

14 March 2014 Page 8 of 11

## 3.13.3 Reduced Vertical Separation Minimum (RVSM)

On making an application for the grant or renewal of a three-year approval under Article 122(2) of the Order of the equipment required by UK-registered aircraft flying otherwise than under and in accordance with an air operator's certificate granted by the CAA to the operator, to fly in notified Reduced Vertical Separation Minimum airspace, its installation, maintenance and related operating procedures, the applicant shall pay to the CAA a charge of £2,811.

## 3.13.4 Required Navigation Performance airspace – RNAV 10 or P-RNAV Approval

On making an application for the grant or renewal of a three-year approval under Article 124(2) of the Order of the equipment required by UK-registered aircraft flying otherwise than under and in accordance with an air operator's certificate granted by the CAA to the operator, to fly in notified Required Navigation Performance airspace, its installation, maintenance and related operating procedures, the applicant shall pay to the CAA a charge as specified in Table 9:

Table 9:

ApprovalType	Aircraft Type	Application Type	Charge
RNAV-10	All	Initial grant Renewal	£708 £708
P-RNAV	Complex motor- powered aircraft	Initial grant Renewal	£3,988 £708
	Non-complex motor-powered aircraft	Initial grant Renewal	£337 £84

**NOTE 6:** P-RNAV approvals are categorised between complex motor-powered aircraft and non-complex motor-powered aircraft. The definition of complex motor-powered aircraft is stated in Article 3 (j) of the Basic EASA Regulation.

### 3.14 Approval of steep approach procedures

On making an application specified in Table 10 for a three-year approval of operational procedures to conduct steep approaches at an aerodrome where an approval for such approaches is required from the CAA by the aerodrome licence or EASA aerodrome certificate, the applicant shall pay to the CAA a charge in accordance with that Table:

Table 10:

ApplicationType	Charge
Initial grant	£6,360
Renewal	£708

14 March 2014 Page 9 of 11

## 3.15 **Permissions in relation to Minimum Equipment Requirements**

On making an application for a permission under Article 41(3) of the Order to commence a flight notwithstanding that any specified item of equipment required by or under the Order in the circumstances of the intended flight is not carried or is not in a fit condition for use, the applicant shall pay to the CAA a charge of £4,831 for the initial issue with a validity of three years and a charge of £1,756 upon renewal.

## 3.16 Alternative Means of Compliance

When making an application for the CAA to review an Alternative Means of Compliance (AltMOC) for an organisation or person under ARO.GEN.120 (d) that would allow the establishment of compliance with the EASA Air Operations Regulation, the applicant shall pay to the CAA a charge of £480. Should the CAA review exceed three hours, the applicant shall pay to the CAA additional charges of £172 per hour for the excess hours incurred or part thereof. These additional charges shall be determined and invoiced by the CAA having regard to the expense thereby incurred, but not exceeding £10,000 in any year or part of a year in which the investigations are carried out. All charges (other than the charge payable on application) are to be paid within 30 days of invoice date.

### 3.17 Copies of documents

On making an application for the issue by the CAA of a copy or replacement of a document issued under Parts 14 to 22 of the Order or the Rules of the Air Regulations 2007, the applicant shall pay to the CAA a charge of £22.

## 3.18 Additional charge where functions are performed abroad

Where, in connection with any function in respect of which a charge is specified in this Scheme, the CAA deems it necessary for an employee of the CAA or any other person appointed to act on behalf of the CAA to travel outside of the country in which such person is normally stationed (for which purpose the United Kingdom, the Isle of Man and the Channel Islands shall be treated as one country) the applicant shall pay to the CAA on demand, in addition to the appropriate charge specified in this Scheme, a charge of such amount as may be decided and invoiced by the CAA having regard to the expense thereby incurred by it but not exceeding, in respect of each Member or employee of the CAA or each person appointed to act on behalf of the CAA, £13,260 per week, or part thereof, during which each such Member or employee or any other person appointed to act on behalf of the CAA is absent from the country in which such person is normally stationed.

### 4 DEFINITIONS

- 4.1 For the purposes of this Scheme: 4.1 For the purposes of this Scheme:
  - a) 'Basic EASA Regulation' means Commission Regulation (EC) No. 216/2008 on common rules in the field of civil aviation as amended from time to time.
  - b) 'Complex motor-powered aircraft' has the same meaning as in Article 3(j) of the Basic EASA Regulation.
  - c) 'Display item' means a single aircraft, or formation of aircraft, flying as one display 'act'.

14 March 2014 Page 10 of 11

- d) 'EASA Air Operations Regulation' means Commission Regulation (EU) No 965/2012 of 5 October 2012 laying down technical requirements and administrative procedures relating to air operations pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council (as amended from time to time).
- e) 'Event' means a flying display taking place at a single location on a single day.
- f) 'The Order' means the Air Navigation Order 2009 and any reference to an Article or Part of that Order shall, if that Order be amended or revoked, be taken to be a reference to the corresponding provisions of the Air Navigation Order for the time being in force.
- g) 'Small unmanned aircraft' has the meaning as set out in Article 255(1) of the Order.
- h) 'A special parachuting permission' means a parachuting permission which relates to unusual parachuting activity.
- i) 'Unusual parachuting activity' means parachuting NOT conducted in accordance with the British Parachute Association's manual and procedures. This includes high altitude jumps, stand-off and any other activity which the CAA considers in the particular case to be an unusual parachuting activity.
- i) 'Part-NCC' means Annex VI of the EASA Air Operations Regulation.
- k) 'Part-SPO' means Annex VIII of the EASA Air Operations Regulation.
- 4.2 All other expressions used in this Scheme shall, unless the context otherwise requires, have the same respective meanings as in the Order or in the Basic EASA Regulation or in the EASA Air Operations Regulation, as appropriate.
  - **NOTE 7:** Charges for approvals in the circumstances referred to in paragraphs 3.13.2, 3.13.3 and 3.13.4 which are required by aircraft flying under and in accordance with an air operator's certificate granted by the CAA to the operator are included (though not separately identified) in the AOC Scheme of Charges.

#### 5 COMMENCEMENT

This Scheme shall come into operation on 01 April 2014.

Reference to the **CAA Refund Policy** may be made at www.caa.co.uk/ors5

14 March 2014 Page 11 of 11